



3729+

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Approved for use through 09/30/2000. OMB 0651-0031  
Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

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## TRANSMITTAL FORM

(to be used for all correspondence after initial filing)

|  |    |                        |                   |
|--|----|------------------------|-------------------|
|  |    | Application Number     | 09/809,121        |
|  |    | Filing Date            | 3/15/2001         |
|  |    | First Named Inventor   | LIEBERMAN, Daniel |
|  |    | Group Art Unit         | 3729              |
|  |    | Examiner Name          | Paul D. KIM       |
| Total Number of Pages in This Submission | 12 | Attorney Docket Number | 7544PA03          |

### ENCLOSURES (check all that apply)

|  |   |  |
|--|---|--|
| <input checked="" type="checkbox"/> Fee Transmittal Form                     | <input type="checkbox"/> Assignment Papers (for an Application)                         | <input type="checkbox"/> After Allowance Communication to Group                            |
| <input checked="" type="checkbox"/> Fee Attached                             | <input type="checkbox"/> Drawing(s)   | <input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences        |
| <input checked="" type="checkbox"/> Amendment / Response                     | <input type="checkbox"/> Licensing-related Papers                                       | <input type="checkbox"/> Appeal Communication to Group (Appeal Notice, Brief, Reply Brief) |
| <input type="checkbox"/> After Final   | <input type="checkbox"/> Petition Routing Slip (PTO/SB/69) and Accompanying Petition    | <input type="checkbox"/> Proprietary Information   |
| <input type="checkbox"/> Affidavits/declaration(s)                           | <input type="checkbox"/> Petition to Convert to a Provisional Application               | <input type="checkbox"/> Status Letter   |
| <input type="checkbox"/> Extension of Time Request                           | <input type="checkbox"/> Power of Attorney, Revocation Change of Correspondence Address | <input checked="" type="checkbox"/> Additional Enclosure(s) (please identify below):       |
| <input type="checkbox"/> Express Abandonment Request                         | <input type="checkbox"/> Terminal Disclaimer  | <input type="checkbox"/> return postcard   |
| <input type="checkbox"/> Information Disclosure Statement                    | <input type="checkbox"/> Small Entity Statement   |  |
| <input type="checkbox"/> Certified Copy of Priority Document(s)              | <input type="checkbox"/> Request for Refund   |  |
| <input type="checkbox"/> Response to Missing Parts/ Incomplete Application   |   |  |
| <input type="checkbox"/> Response to Missing Parts under 37 CFR 1.52 or 1.53 |   |  |
| Remarks  |   |  |

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TECHNOLOGY CENTER R3700

### SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT

|                         |                      |                 |
|-------------------------|----------------------|-----------------|
| Firm or Individual name | COLLEEN J. McKIERNAN | Reg. No. 48,570 |
| Signature               |                      |                 |
| Date                    | September 20, 2002   |                 |

### CERTIFICATE OF MAILING

I hereby certify that this correspondence is being deposited with the U. S. Postal Service as first class mail in an envelope addressed to: U.S. Patent and Trademark Office, P.O. Box 2327, Arlington, VA 22202 on this date: 09/20/2002

|                       |                    |
|-----------------------|--------------------|
| Typed or printed name | Karen L. Johnson   |
| Signature             |                    |
| Date                  | September 20, 2002 |

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# FEE TRANSMITTAL for FY 2002

Patent fees are subject to annual revision.

TOTAL AMOUNT OF PAYMENT (\$)

200

## Complete if Known

|                      |                  |
|----------------------|------------------|
| Application Number   | 09/809,121       |
| Filing Date          | 3/15/2001        |
| First Named Inventor | Daniel LIEBERMAN |
| Examiner Name        | Paul D. KIM      |
| Group Art Unit       | 3729             |
| Attorney Docket No.  | 7544PA03         |

## METHOD OF PAYMENT

1.  The Commissioner is hereby authorized to charge indicated fees and credit any overpayments to:

|                        |                                   |
|------------------------|-----------------------------------|
| Deposit Account Number | 02-4070                           |
| Deposit Account Name   | Brown Martin Haller & McClain LLP |

Charge Any Additional Fee Required Under 37 CFR 1.16 and 1.17

Applicant claims small entity status. See 37 CFR 1.27

2.  Payment Enclosed:

Check  Credit card  Money Order  Other

## FEE CALCULATION

## 1. BASIC FILING FEE

Large Entity Small Entity

| Fee Code (\$) | Fee (\$) | Fee Code (\$) | Fee Description           | Fee Paid |
|---------------|----------|---------------|---------------------------|----------|
| 101           | 740      | 201           | 370 Utility filing fee    |          |
| 106           | 330      | 206           | 165 Design filing fee     |          |
| 107           | 510      | 207           | 255 Plant filing fee      |          |
| 108           | 740      | 208           | 370 Reissue filing fee    |          |
| 114           | 160      | 214           | 80 Provisional filing fee |          |

SUBTOTAL (1) (\$)

0

## 2. EXTRA CLAIM FEES

| Total Claims       | Extra Claims | Fee from below | Fee Paid |
|--------------------|--------------|----------------|----------|
| Independent        | -20*         | =              | 0        |
| Claims             | -3           | =              | 0        |
| Multiple Dependent |              |                |          |

Large Entity Small Entity

| Fee Code (\$) | Fee (\$) | Fee Code (\$) | Fee Description  |
|---------------|----------|---------------|--|
| 103           | 18       | 203           | 9 Claims in excess of 20                                     |
| 102           | 84       | 202           | 42 Independent claims in excess of 3                         |
| 104           | 280      | 204           | 140 Multiple dependent claim, if not paid                    |
| 109           | 84       | 209           | 42 ** Reissue independent claims over original patent        |
| 110           | 18       | 210           | 9 ** Reissue claims in excess of 20 and over original patent |

SUBTOTAL (2) (\$)

0

\*\*or number previously paid, if greater; For Reissues, see above

## 3. ADDITIONAL FEES

| Large Entity Fee Code (\$) | Small Entity Fee Code (\$) | Fee Description   | Fee Paid |
|----------------------------|----------------------------|---|----------|
| 105                        | 130                        | 205 Surcharge - late filing fee or oath   |          |
| 127                        | 50                         | 227 Surcharge - late provisional filing fee or cover sheet                        |          |
| 139                        | 130                        | 139 Non-English specification   |          |
| 147                        | 2,520                      | 147 2,520 For filing a request for ex parte reexamination                         |          |
| 112                        | 920*                       | 112 920* Requesting publication of SIR prior to Examiner action                   |          |
| 113                        | 1,840*                     | 113 1,840* Requesting publication of SIR after Examiner action                    |          |
| 115                        | 110                        | 215 Extension for reply within first month  |          |
| 116                        | 400                        | 216 Extension for reply within second month                                       | 200      |
| 117                        | 920                        | 217 Extension for reply within third month  |          |
| 118                        | 1,440                      | 218 720 Extension for reply within fourth month                                   |          |
| 128                        | 1,960                      | 228 980 Extension for reply within fifth month                                    |          |
| 119                        | 320                        | 219 160 Notice of Appeal  |          |
| 120                        | 320                        | 220 160 Filing a brief in support of an appeal                                    |          |
| 121                        | 280                        | 221 140 Request for oral hearing  |          |
| 138                        | 1,510                      | 138 1,510 Petition to institute a public use proceeding                           |          |
| 140                        | 110                        | 240 55 Petition to revive - unavoidable   |          |
| 141                        | 1,280                      | 241 640 Petition to revive - unintentional  |          |
| 142                        | 1,280                      | 242 640 Utility issue fee (or reissue)  |          |
| 143                        | 460                        | 243 230 Design issue fee  |          |
| 144                        | 620                        | 244 310 Plant issue fee   |          |
| 122                        | 130                        | 122 130 Petitions to the Commissioner   |          |
| 123                        | 50                         | 123 50 Processing fee under 37 CFR 1.17(q)  |          |
| 126                        | 180                        | 126 180 Submission of Information Disclosure Statement (ID)                       |          |
| 581                        | 40                         | 581 40 Recording each patent assignment per property (times number of properties) |          |
| 146                        | 740                        | 246 370 Filing a submission after final rejection (37 CFR § 1.129(a))             |          |
| 149                        | 740                        | 249 370 For each additional invention to be examined (37 CFR § 1.129(b))          |          |
| 179                        | 740                        | 279 370 Request for Continued Examination (RCE)                                   |          |
| 169                        | 900                        | 169 900 Request for expedited examination of a design application                 |          |
| Other fee (specify) _____  |                            |   |          |

\*Reduced by Basic Filing Fee Paid

SUBTOTAL (3) (\$)

200

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## SUBMITTED BY

Complete (if applicable)

|                   |                      |                                   |        |           |                    |
|-------------------|----------------------|-----------------------------------|--------|-----------|--------------------|
| Name (Print/Type) | COLLEEN J. McKIERNAN | Registration No. (Attorney/Agent) | 48,570 | Telephone | 619-238-0999       |
| Signature         |                      |                                   |        | Date      | September 20, 2002 |

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